

## Disrupting Clinical Research: Transforming a System

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Duke Translational Medicine Institute

Transforming Medicine

#### Conflicts



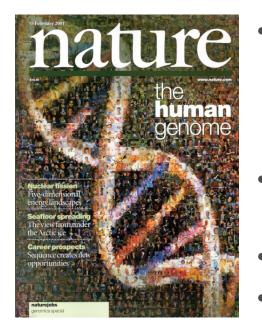
- All of my "industry relationships" can be found at www.dcri.org/about-us/conflict-of-interest/Califf-COI\_2013
- I work as an official in an academic health and science system that depends on a margin from the current reimbursement system that rewards behaviors that may not be good for your health!



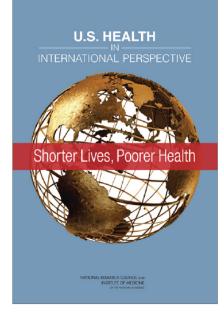
Transforming Medicine

### The Best of Times, the Worst of Times

Fundamental science unprecedentedly advanced, but:

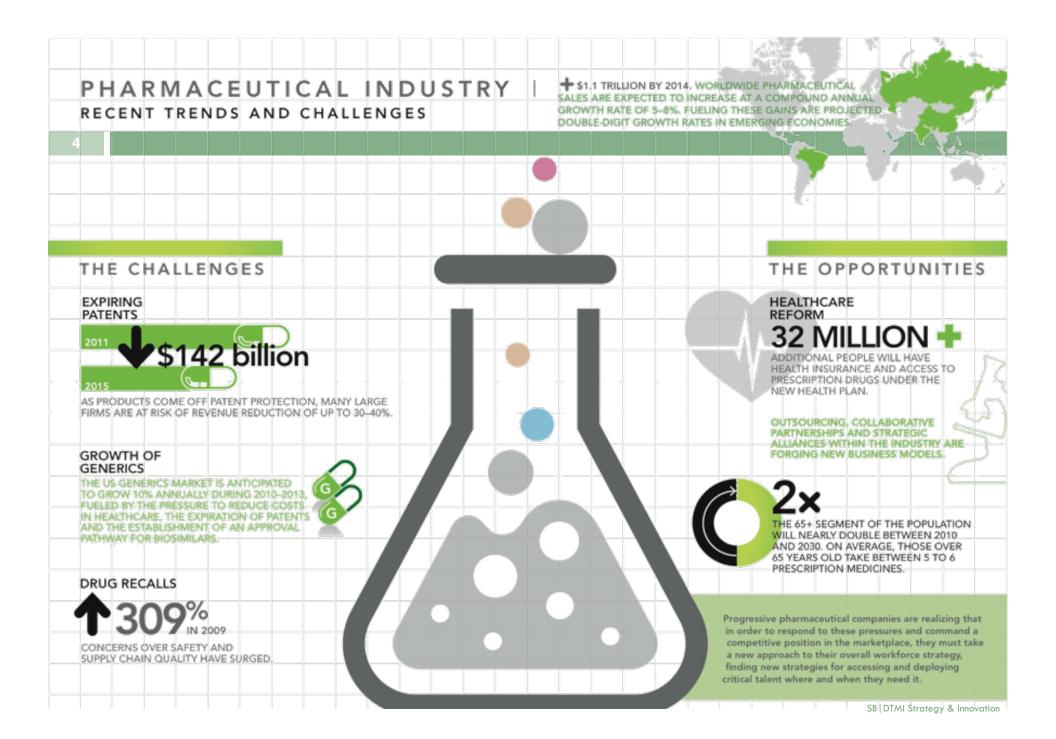


- Poor transition of basic or clinical observations into interventions that tangibly improve human health
- Drug/device/diagnostic development system in crisis
- Clinical trials system in crisis
- Poor adoption of demonstrably useful interventions

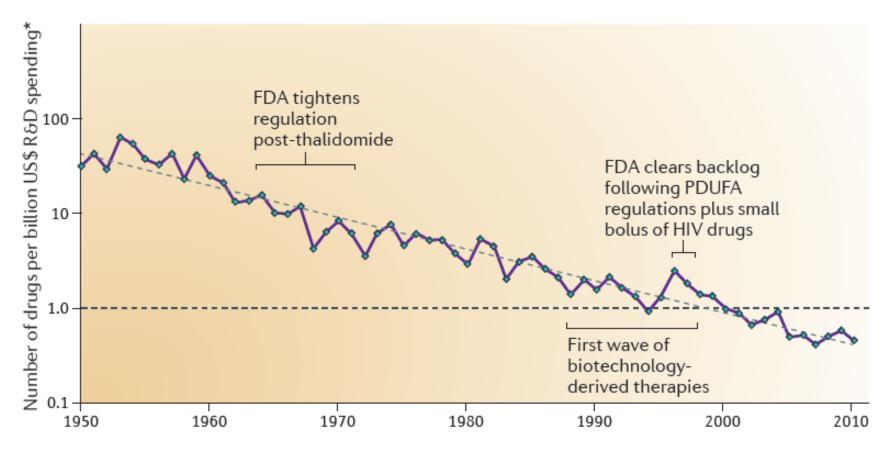


People unhealthier and funders of biomedical research enterprise (public and private) impatient

National Center for Advancing Translational Science



### **Eroom's Law**



The number of new drugs approved by the FDA per billion US dollars (inflation-adjusted) spent on research and development (R&D) has halved roughly every 9 years since 1950.



Scannell et al., Nature Reviews Drug Discovery 11:191, 2012

# Our national clinical research system is well-intentioned but flawed

- High percentage of decisions not supported by evidence\*
- Health outcomes and disparities are not improving
- Current system is great **except**:
  - Too slow
  - Too expensive
  - Unreliable
  - Doesn't answer questions that matter most to patients
  - Unattractive to clinicians & administrators

We are not generating the evidence we need to support the healthcare decisions that patients and their doctors have to make every day.



\*Tricoci P et al. JAMA 2009;301:831-41.

## The Clinical Trials Transformation Initiative

- Public private partnership co-founded by Duke and FDA in late 2007
- All stakeholders involved
- Through a MOU with FDA, Duke convenes the initiative

#### **Mission**

To identify and promote practices that will increase the quality and efficiency of clinical trials

#### Vision

A high quality clinical trial system that is patient-centered and efficient, enabling reliable and timely access to evidencebased prevention and treatment options



## **CTTI Member Organizations**

17 Academia 17 Patient Reps 12 Pharmaceutical 10 Government US 5 Biotech 4 Clinical Research Organization 4 Device/Diagnostics 4 Institutional Review Boards 4 Professional Societies 4 Other 3 Clinical Investigators 2 Professional Services



www.ctti-clinicaltrials.org

### How does CTTI seek to effect change?

- Identify and eliminate activities in the conduct of trials that do not add value
- Understand incentives to maintain non-value-added activities
- Develop solutions that are mindful of the needs of patients and all sectors in the clinical research enterprise
- Maintain an open and respectful dialogue across sectors
- Involve all sectors in selection, conduct, and interpretation of projects



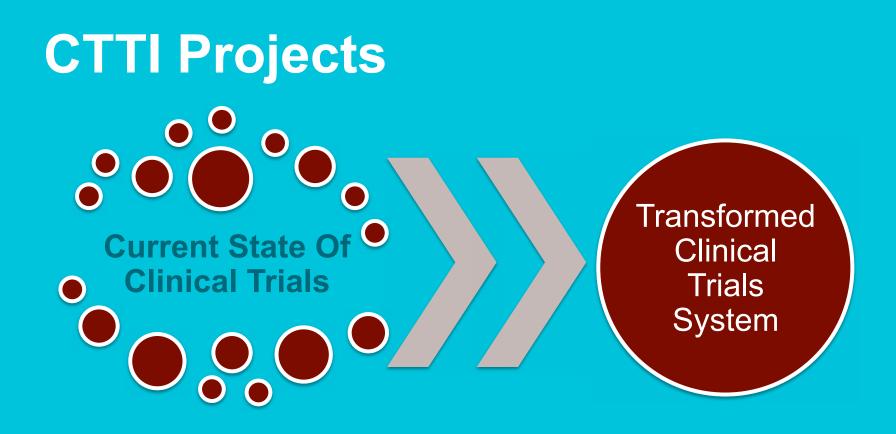
### **CTTI Strategy**

- 1. Identify and shape potential **TRANSFORMATIONAL** changes to the system
- 2. Seek **INCREMENTAL** improvements to current system
- 3. Consider **PORTFOLIO** improvements of clinical trials being done relative to public health needs



## Portfolio of CTTI Projects

|                             | Investigational Plan  | Study Start-Up  | Study Conduct  | Analysis &<br>Dissemination |
|-----------------------------|---|---|--|-----------------------------|
| Completed                   | Long-Term Opioid Data<br>Uses of Electronic Data  | Central IRB<br>Site Metrics   | Adverse Event<br>Reporting<br>IND Safety<br>Monitoring |                             |
| Ongoing                     | Antibacterial Drug<br>Development<br>Large Simple Trials<br>Patient Groups & Clinical<br>Trials<br>Pregnancy Testing<br>QbD & QRM | Central IRB<br>Advancement<br>GCP Training<br>Informed Consent<br>Recruitment & Retention | Safety Case Studies                                    | State of Clinical<br>Trials |
| 2014                        | Trials based on registries  |   | Site Quality and Performance                           | DMCs                        |
|                             |   |   |  |                             |
| www.ctti-clinicaltrials.org |   |   |  |                             |



A high quality clinical trial system that is patient-centered and efficient, enabling reliable and timely access to evidence-based prevention and treatment options



www.ctti-clinicaltrials.org

# Every day, patients and doctors face questions for which evidence is lacking to guide answers

- Does ibuprofen cause heart attacks or strokes? If so, how much does it increase my risk?"
- "For 'short cervix,' does bed rest prevent early labor?"
- Should my daily blood pressure medicine be taken in the morning or at night?"
- What should I do about the new guidelines for prescribing statins for people with high cholesterol, but no symptoms?"
- "How can I help my 87-year-old patient with multiple myeloma decide which chemotherapy option is best?"
- "My child has been diagnosed with ADHD. What are the benefits and risks of giving him medication?"

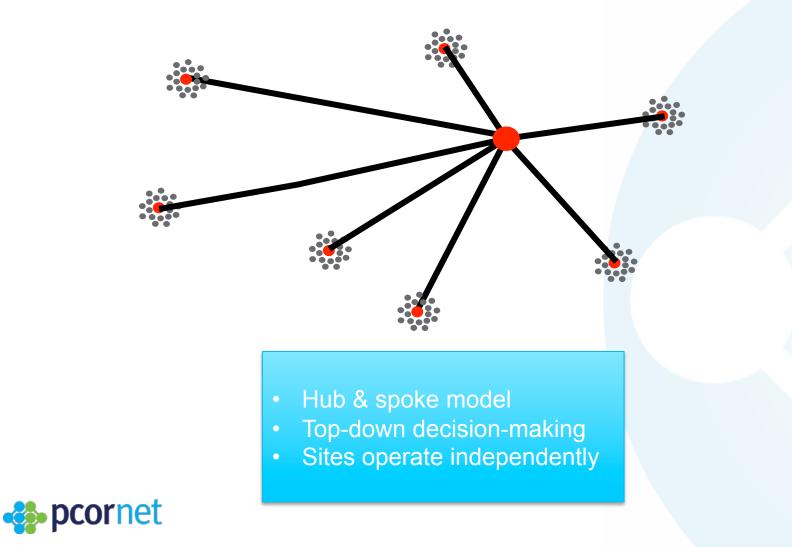








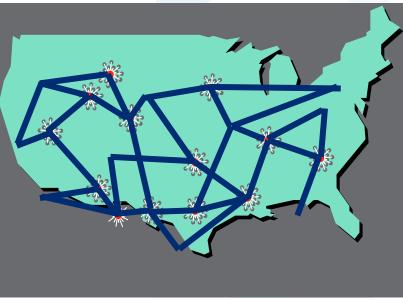
# Historical model of clinical research: Many recruitment sites and a coordinating center





# Both researchers and funders now recognize the value in integrating clinical research networks

- Linking existing networks means clinical research can be conducted more effectively
- Ensures that patients, providers, and scientists form true "communities of research"
- Creates "interoperability" networks can share sites and data





# The missing link: An agile and efficient infrastructure to support rapid, reliable studies





# Health Care Systems Research Collaboratory

A Virtual Home for Knowledge about Pragmatic Clinical Trials using Health Systems: www.theresearchcollaboratory.org



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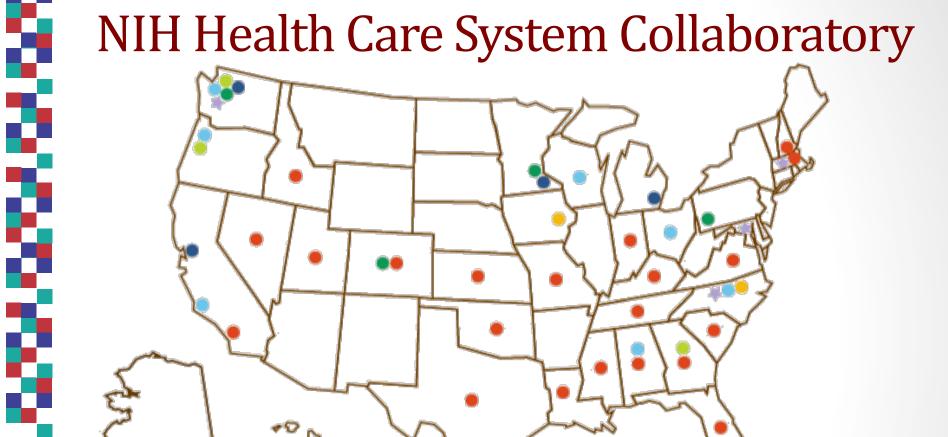
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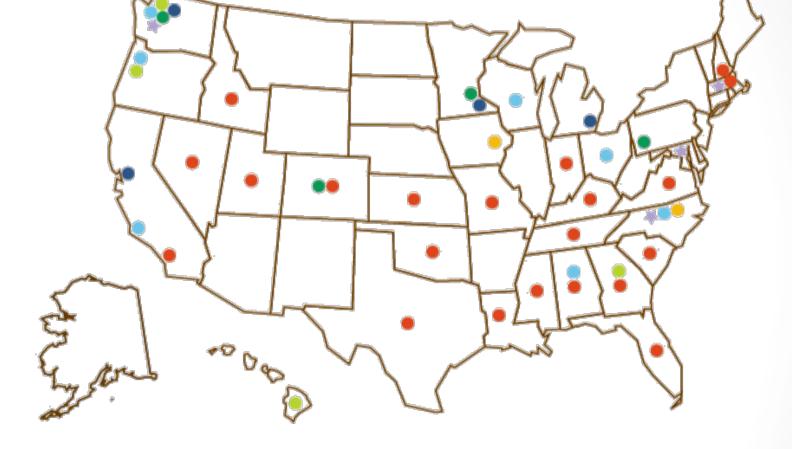


### Health Care Systems Research Collaboratory

- 1. Pragmatic trial design
- 2. Electronic health record as core data collection instrument
- 3. At least 2 integrated health systems collaborating to answer the question

\* Over 80 applications—7 funded to go forward with planning phase





Collaboratory Coordinating Center

- Nighttime Dose of Anti-Hypertensive Medications
- Prevent Suicide Attempt

O Reduce Mortality in End Stage Renal Disease (sites to be selected from units across all 50 states)

### The Collaboratory

- Stop Colon Cancer in Priority Populations
- Chronic Pain in Primary Care
- Reduce Infections and Readmissions
- Lumbar Image Reporting and Epidemiology

Additional sites to be determined

### **PRAGMATIC TRIALS IN HEMODIALYSIS**

Demonstration Project: The Time to Reduce Mortality in Endstage Renal Disease (TiME) Trial

> Principal Investigator: Laura M. Dember, MD University of Pennsylvania





## **TiME Trial Design**

- Hypothesis:
  - –extending dialysis sessions improves health outcomes
- Interventions:
  - -extended dialysis sessions vs usual care
- Cluster Randomization:
  - -by dialysis facility
- Eligibility:
  - -all patients starting chronic hemodialysis
- Outcomes:
  - -mortality, hospitalizations, HRQoL



#### Introducing PCORnet: The National Patient-Centered Clinical Research Network



### **PCORnet's goal**



PCORnet seeks to improve the nation's capacity to conduct clinical research by creating a large, highly representative, national patient-centered network that supports more efficient clinical trials and observational studies.



### **PCORnet's vision**

PCORnet will support widespread capability for the US healthcare system to learn from research, meaning that large-scale research can be conducted with greater speed and accuracy within real-world care delivery systems.





# Overall objectives of PCORnet: achieving a single functional research network

- Create a secure national research resource that will enable teams of health researchers, patients, and their partners to work together on researching questions of shared interest
- Utilize multiple rich data sources to support research, such as electronic health records, insurance claims data, and data reported directly by patients
- Engage patients, clinicians & health system leaders throughout the research cycle from idea generation to implementation
- Support observational and interventional research studies that compare how well different treatment options work for different people
- Enable external partners to collaborate with PCORI-funded networks
- Sustain PCORnet resources for a range of research activities supported by PCORI and other sponsors



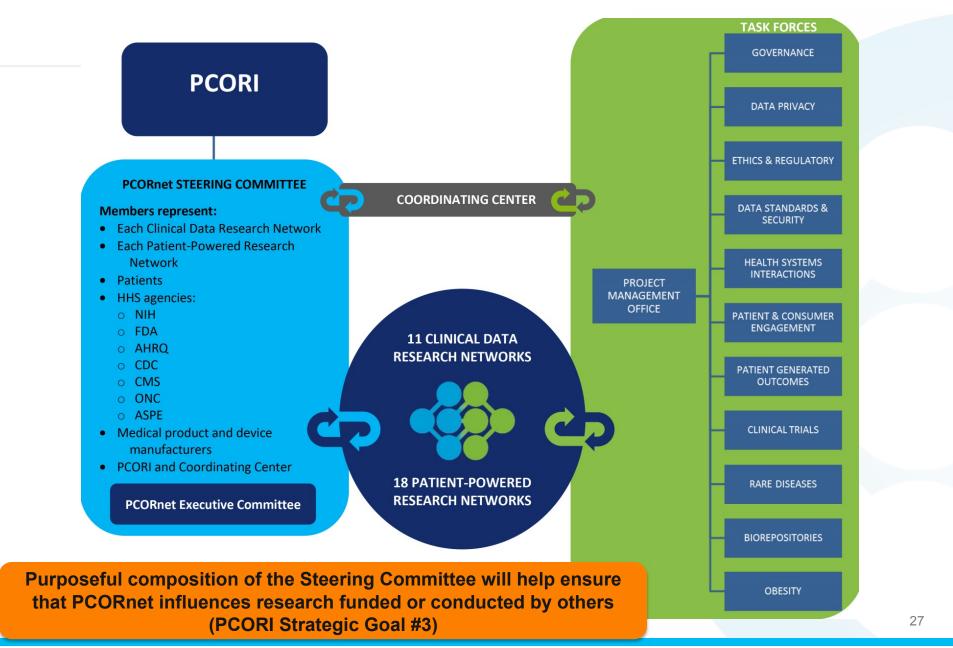
## 29 CDRN and PPRN awards were approved on December 17<sup>th</sup> by PCORI's Board of Governors



This map depicts the number of PCORI-funded Patient-Powered or Clinical Data Research Networks that have coverage in each state.



#### **PCORnet organizational structure**



### Goals for each Clinical Data Research Network (CDRN)

Create a research-ready dataset of at least 1 million patients that is:

- Secure and does not identify individual patients
- Comprehensive, using data from EHRs to describe patients' care experience over time and in different care settings
- Involve patients, clinicians, and health system leaders in all aspects of creating and running the network
- Develop the ability to run a clinical trial in the participating systems that fits seamlessly into healthcare operations
- Identify at least 3 cohorts of patients who have a condition in common, and who can be characterized and surveyed





### **CDRN** highlights

- Networks of academic health centers, hospitals & clinical practices
- Networks of non-profit integrated health systems
- Networks of Federally Qualified Health Centers (FQHCs) serving low-income communities

Academic

Health Centers

- Networks leveraging NIH and AHRQ investments (CTSAs)
- Inclusion of Health Information Exchanges
- Wide geographical spread
- Inclusion of under-served populations
- Range from 1M covered lives to 28M

Clinical & **Translational** Science Awardees

Health Information **Exchanges** 

Safety Net Clinics

Integrated Deliverv

Systems

pcornet



### **CDRNs organizations and leadership**

| CDRN Name                                   | Lead Organization                              | Principal Investigator |
|---|--|------------------------|
| ADVANCE                                     | Oregon Community Health Information<br>Network | Jennifer DeVoe         |
| CAPriCORN                                   | The Chicago Community Trust                    | Terry Mazany           |
| <b>Greater Plains Collaborative</b>         | University of Kansas Medical Center            | Russ Waitman           |
| Louisiana Clinical Data<br>Research Network | Louisiana Public Health Institute              | Thomas Carton          |
| Mid-South CDRN                              | Vanderbilt University                          | Russell Rothman        |
| NYC-CDRN                                    | Weill Medical College of Cornell University    | Rainu Kaushal          |
| PEDSNet                                     | The Children's Hospital of Philadelphia        | Christopher Forrest    |
| PORTAL                                      | Kaiser Foundation Research Institute           | Elizabeth McGlynn      |
| pSCANNER                                    | University of California, San Diego            | Lucila Ohno-Machado    |
| PaTH  | University of Pittsburgh                       | Rachel Hess            |
| SCIHLS                                      | Harvard University                             | Kenneth Mandl          |



#### **CDRNs: disease cohorts**

| Organization                                | Common Cohort              | Rare Cohort  |
|---|----------------------------|--|
| ADVANCE                                     | Diabetes                   | HIV & hepatitis C virus co-infection                       |
| CAPriCORN                                   | Anemia; asthma             | Sickle cell disease; recurrent <i>C. difficile</i> colitis |
| <b>Great Plains Collaborative</b>           | Breast cancer              | Amyotrophic lateral sclerosis                              |
| Louisiana Clinical Data<br>Research Network | Diabetes                   | Sickle cell disease; rare cancers                          |
| NYC-CDRN                                    | Diabetes                   | Cystic fibrosis  |
| Mid-South CDRN                              | Coronary heart disease     | Sickle cell disease  |
| PEDSNet                                     | Inflammatory bowel disease | Hypoplastic left heart syndrome                            |
| PORTAL                                      | Colorectal cancer          | Severe congenital heart disease                            |
| pSCANNER                                    | Congestive heart failure   | Kawasaki disease   |
| P2ATH                                       | Atrial fibrillation        | Idiopathic pulmonary fibrosis                              |
| SCIHLS                                      | Osteoarthritis             | Pulmonary arterial hypertension                            |



### Goals for each Patient-Powered Research Network (PPRN)

- Establish an activated patient population with a condition of interest (Size >50 patients for rare diseases; >50,000 for common conditions)
- $\bigcirc$  Collect patient-reported data for  $\geq$ 80% of patients in the network
- Involve patients in network governance
- Create standardized database suitable for sharing with other network members that can be used to respond to "queries" (ideas for possible research studies)





### **PPRN** highlights

- Participating organizations and leadership teams include patients, advocacy groups, clinicians, academic centers, practice-based research networks
- Strong understanding of patient engagement
- Significant range of conditions and diseases
- Variety in populations represented (including pediatrics; under-served populations)
- 50% are focused on rare diseases
- Varying capabilities with respect to developing research data
- Several PPRNs have capacity to work with biospecimens



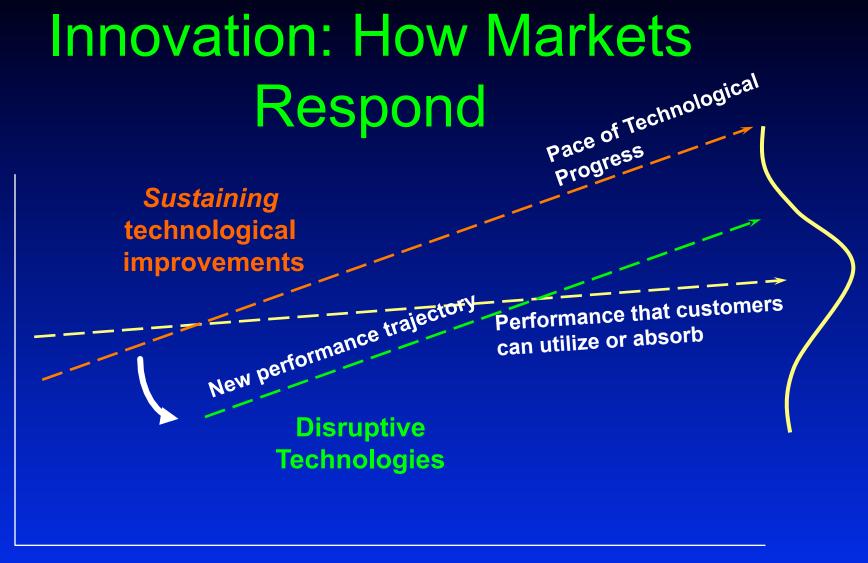
#### **PPRNs represent a number of conditions...**

| Organization                                       | Principal<br>Investigator | Condition   | Population<br>Size |
|--|---------------------------|---|--------------------|
| Accelerated Cure Project for Multiple<br>Sclerosis | Robert McBurney           | Multiple sclerosis  | 20,000             |
| American Sleep Apnea Association                   | Susan Redline             | Sleep apnea   | 50,000             |
| Cincinnati Children's Hospital Medical<br>Center   | Peter Margolis            | Pediatric Crohn's disease and ulcerative colitis  | 15,000             |
| COPD Foundation                                    | Richard Mularski          | Chronic obstructive pulmonary disease   | 50,000             |
| Crohn's and Colitis Foundation of<br>America       | R. Balfour Sartor         | Inflammatory bowel disease (Crohn's disease and ulcerative colitis)   | 30,000             |
| Global Healthy Living Foundation                   | Seth Ginsberg             | Arthritis (rheumatoid arthritis;<br>spondyloarthritis), musculoskeletal<br>disorders (osteoporosis), and inflammatory<br>conditions (psoriasis) | 50,000             |
| Massachusetts General Hospital                     | Andrew<br>Nierenberg      | Major depressive disorder and bipolar disorder  | 50,000             |
| University of California, San Francisco            | Mark Pletcher             | Cardiovascular health   | 100,000            |
| University of South Florida                        | Rebecca Sutphen           | Hereditary breast & ovarian cancer  | 17,000             |



### ....including rare diseases

| Organization                               | Principal<br>Investgator | Condition  | Population<br>Size |
|--|--------------------------|--|--------------------|
| ALD Connect, Inc                           | Florian Eichler          | Adrenoleukodystrophy   | 3,000              |
| Arbor Research<br>Collaborative for Health | Bruce Robinson           | Primary nephrotic syndrome; focal segmental glomerulosclerosis; minimal change disease; and membranous nephropathy multiple sclerosis  | 1,250              |
| Duke University                            | Laura Schanberg          | Juvenile rheumatic disease   | 9,000              |
| Epilepsy Foundation                        | Janice Beulow            | Aicardi syndrome; Lennox-Gastaut syndrome; Phelan-<br>McDermid syndrome; hypothalamic hamartoma;<br>Dravet syndrome, tuberous sclerosis  | 1,500              |
| Genetic Alliance, Inc                      | Sharon Terry             | Alström syndrome; dyskeratosis congenital; Gaucher<br>disease; hepatitis; inflammatory breast cancer;<br>Joubert syndrome; Klinefelter syndrome & associated<br>conditions; psoriasis; metachromatic leukodystrophy;<br>pseudoxanthoma elasticum | 50- 50,000         |
| Immune Deficiency<br>Foundation            | Kathleen<br>Sullivan     | Primary immunodeficiency diseases  | 1,250              |
| Parent Project Muscular<br>Dystrophy       | Holly Peay               | Duchenne and Becker muscular dystrophy   | 4,000              |
| Phelan-McDermid<br>Syndrome Foundation     | Megan O'Boyle            | Phelan-McDermid syndrome   | 737                |
| University of Pennsylvania                 | Peter Merkel             | Vasculitis   | <b>500</b> 35      |



Performance

Time

Adapted from: The Innovator's Dilemma, Clayton M. Christensen, 2000.

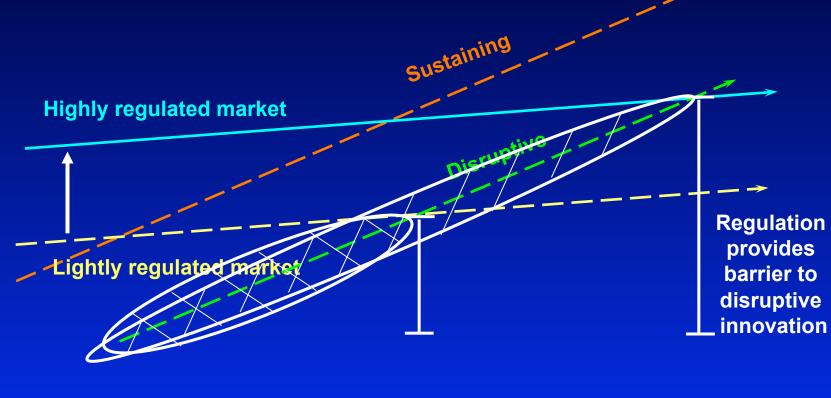
## Innovation: How Markets Respond

 ...as a rule the new does not grow out of the old but appears alongside of it and eliminates it competitively..."
Joseph A. Schumpter, *The Theory of Economic* Development (1911)

 In most markets, technology & organizational innovation drive cost and quality improvement

## Disruptive Innovation in Health Care







Source: Curtis LH and Schulman KA. Law and Contemporary Problems. Autumn 2006



#### **Re-engineering the Clinical Research Enterprise**



| 4 | ·  |   | Or white  |
|---|--|---|---|
|   | Plan and start a few demonstration<br>networks<br>Simplify complex regulatory systems –<br>demonstration projects<br>Plan for networks in place for all institutes   | Funding mechanism to sustain national<br>system through consensus of all<br>constituents ("1% solution")<br>Simplified regulatory system in place for<br>networks   | National Clinical Research System<br>creates effectiveness data that moves<br>rapidly into the community AND data on<br>outcomes and quality of care; sustained<br>efficient infrastructure to rapidly initiate<br>large clinical trials; scientific information<br>for patients, families, advocacy groups   |
|   | Establish repositories of biological<br>specimens and standards for collection<br>Standardize nomenclature, data<br>standards, core data, forms for most major<br>diseases<br>Start a library of these elements shared<br>between institutes and NLM<br>Develop efficient network administration<br>infrastructure at NIH<br>Develop standards for capturing images<br>for research                                      | Data standards shared across NIH<br>institutes<br>Funding mechanisms evaluated to<br>determine which are most efficient   | ONE medical nomenclature with national<br>data standards (agreed to by NIH, CMS,<br>FDA, DOD, CDC)<br>Data standards updated 'in real time"<br>through networks<br>National repository of images and samples<br>Critical national "problem list"<br>Most efficient network funding<br>mechanisms in place across NIH  |
|   | Create NIH standards to provide "safe<br>haven" for clinical research<br>Inventory and evaluate existing public-<br>private partnerships, networks, CR<br>institutions, and regulatory systems<br>Establish FORUM(S) of <u>all</u> stakeholders<br>Establish standards for and pilot creation<br>of a National Clinical Research Corps<br>Demonstration/placinicggrants to<br>enhance/evaluate/develop model<br>networks | NIH standards for safe haven in place<br>Regulations and ethics harmonized with<br>FDA, CMS<br>Public private partnership mechanisms in<br>place<br>100,000 members of certified "Clinical<br>Research Corps"<br>Standards shared across NIH<br>4-7 years<br>Time | Participation in research is a professional standard (taught in all health professions schools)<br>Study, evaluation and training regarding clinical research a part of every medical school, nursing school, pharmacy school Clinical research practices documented and updated regularly to maintain safe haven 8-10 years Networks provide detailed training about network specific issues |